



DISCLOSURE AND NOTICE OF INTENT TO ENTER THE FLORIDA CONTRACTOR-ASSISTED SELF-EXAMINATION PROGRAM PURSUANT TO THE FLORIDA DISPOSITION OF UNCLAIMED PROPERTY ACT

[Holder Name], and certain subsidiaries and affiliated entities, a business entity formed under the laws of the State of _____, ("Holder"), hereby agrees:

- To report to the Florida Department of Financial Services ("DFS") through DFS's authorized Contractor, Faegre, Drinker, Biddle & Reath LLP (the "Contractor") all past due unclaimed property identified through a complete self-examination of its books and records for the last ten report years. The Contractor represents DFS and the Contractor-Assisted Self-Examination is being conducted on behalf of DFS. All currently due unclaimed property must be remitted as instructed by the Contractor during the Exit Conference of the self-examination.
- To disclose to the DFS or its agent all subsidiaries or related entities, including Employer/Tax Identification Number (EIN), state of domicile, and state of incorporation, including the corporate structure of the holder.
- To perform due diligence prior to reporting in accord with State law and regulations.
- To provide an assertion as to the completeness of the records provided and reason for missing/unavailable records.
- To file a final report within one (1) year of the execution of this agreement directly with the Contractor. Any use of a third party to gather records or file a report will be done at the expense of the holder. The report must be filed in the NAUPA II format using approved electronic reporting software, with the reported and remitted amount fully reconciling. If known, the report must contain the name, last known address, Social Security number and any other account information that is available and useful in determining rightful ownership of the account.
- To be compliant with the Florida Disposition of Unclaimed Property Act from this point forward. This includes, but is not limited to, performing an annual review of all financial records for abandoned and unclaimed accounts and filing a complete and accurate unclaimed property report by the required due date, each year (filing instructions are available at <https://www.myfloridacfo.com/appresources/UPMIS/HolderReporting/Reporting-Instructions-Manual.pdf>).
- Confirmation the Holder has not been examined, is currently being examined, is scheduled for an examination, has been approved for a Contractor-Assisted Self-Examination, or has requested to enter into a voluntary disclosure agreement with the Department;



3. Holder Transfer Agent (if applicable)	
a. Full Company Name	
b. Principal Address	
c. City	
d. State	
e. Zip (5 Digit)	
f. Contact Name	
g. Contact Title	
h. Contact E-mail	
i. Contact Phone (including extension)	
4. Property Types and Scope Included in Contractor Assisted Self-Examination	



Signatures

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Holder Name

Florida Department of Financial Services

Printed Name: _____

Printed Name: _____

Title: _____

Title: _____

Date: _____

Date: _____



Geoffrey A. Sawyer, III, Partner, on behalf of Faegre Drinker Biddle & Reath LLP (the “Firm”), hereby certifies that Firm has not had a business relationship with [Holder Name] in unclaimed property or escheat-related matters of any kind within the past three years before the date of the Firm’s request for authorization to conduct this Contractor Assisted Self-Examination.

Geoffrey A. Sawyer, III, Partner